

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|---------------------|---------------------------|----------------------|---------------------|--------------------|--|
| 10/065,387 | 10/11/2002 | A. John Speranza | PES-D-02030 | 2780 | |
| 23462 | 7590 09/02/2005 | | EXAM | INER | |
| CANTOR COLBURN, LLP | | | KALAFUT, | KALAFUT, STEPHEN J | |
| | ROAD SOUTH D, CT 06002 | | ART UNIT | PAPER NUMBER | |
| BEGOMI IEE | D, C1 00002 | | 1745 | | |
| | | | | | |

DATE MAILED: 09/02/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

| | Application No. | Applicant(s) | | | |
|--|---|--|---|--|--|
| | 10/065,387 | SPERANZA ET AL. | | | |
| Office Action Summar | Y Examiner | Art Unit | | | |
| | Stephen J. Kalafut | 1745 | | | |
| The MAILING DATE of this com Period for Reply | nmunication appears on the cover shee | with the correspondence address | • | | |
| A SHORTENED STATUTORY PERIOD THE MAILING DATE OF THIS COMM - Extensions of time may be available under the provafter SIX (6) MONTHS from the mailing date of this If the period for reply specified above is less than the If NO period for reply is specified above, the maxing a Failure to reply within the set or extended period for | MUNICATION. visions of 37 CFR 1.136(a). In no event, however, may communication. hirty (30) days, a reply within the statutory minimum of hum statutory period will apply and will expire SIX (6) Now reply will, by statute, cause the application to become on the after the mailing date of this communication, even | y a reply be timely filed thirty (30) days will be considered timely. MONTHS from the mailing date of this communication. e ABANDONED (35 U.S.C. § 133). | | | |
| 1)⊠ Responsive to communication(| s) filed on 10 June 2005 | | | | |
| 2a) ☐ This action is FINAL . 2b) ☐ This action is non-final. | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | | |
| | ractice under Ex parte Quayle, 1935 (| • | | | |
| Disposition of Claims | | | | | |
| 4)⊠ Claim(s) <u>5-9 and 21-30</u> is/are p | anding in the application | | | | |
| | is/are withdrawn from consideration. | | | | |
| 5) Claim(s) is/are allowed. | is/are withdrawn from consideration. | | | | |
| 6)⊠ Claim(s) <u>9 and 21-30</u> is/are reje | ected | ٠. | | | |
| 7)⊠ Claim(s) <u>5-8</u> is/are objected to. | | | | | |
| 8) Claim(s) are subject to re | estriction and/or election requirement. | | | | |
| | · | | | | |
| Application Papers | | | | | |
| 9) The specification is objected to b | | to built of Francis | | | |
| 10) The drawing(s) filed on is | | | | | |
| | objection to the drawing(s) be held in abe | • • • | | | |
| | | ng(s) is objected to. See 37 CFR 1.121(d). | | | |
| 11) The oath or declaration is object | ed to by the Exammer. Note the attack | ied Office Action of form PTO-152, | | | |
| Priority under 35 U.S.C. § 119 | | | | | |
| 12) Acknowledgment is made of a c a) All b) Some * c) None | | c. § 119(a)-(d) or (f). | | | |
| <u> </u> | ority documents have been received. | | | | |
| • | ority documents have been received in | | | | |
| | pies of the priority documents have be | en received in this National Stage | | | |
| | national Bureau (PCT Rule 17.2(a)). | | | | |
| See the attached detailed Office | action for a list of the certified copies r | ot received. | | | |
| | | | | | |
| Attachment(s) | | | | | |
| Notice of References Cited (PTO-892) Notice of Draftsperson's Patent Drawing Revi | | w Summary (PTO-413) lo(s)/Mail Date | | | |
| Notice of Draitsperson's Patent Brawing Revi Information Disclosure Statement(s) (PTO-14 Paper No(s)/Mail Date | ` | of Informal Patent Application (PTO-152) | | | |
| J.S. Patent and Trademark Office PTOL-326 (Rev. 1-04) | Office Action Summary | Part of Paper No./Mail Date 20050824 | | | |



Application/Control Number: 10/065,387

Art Unit: 1745

Claims 9, 21-25, 28 and 29 are rejected under 35 U.S.C. 102(a) as being anticipated by Yamaguchi *et al.* (2001-266,923), for reasons of record as applied to original claims 1, 3-7 and 9.

Claims 9, 21, 23-25 and 27 are rejected under 35 U.S.C. 102(a) as being anticipated by Routtenberg (WO 01/28017), for reasons of record as applied to original claims 1, 2, 5-7 and 9.

Claims 26 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yamaguchi et al.

These claims differ from Yamaguchi et al. by reciting an AC/DC electric conversion device, a type well known in the electrical arts. Since Yamaguchi et al. disclose a wind-powered primary power source (8), such devices able to generating alternating current due to their rotational motion. To convert this AC into the DC compatible with the fuel cell or electrolysis device, it would be obvious to use an AC/DC converter in the system of Yamaguchi et al.

Claim 26 is rejected under 35 U.S.C. 103(a) as being unpatentable over Routtenberg.

This claims differs from Routtenberg by reciting an AC/DC electric conversion device, a type well known in the electrical arts. Since Routtenberg discloses a power grid (606) as a primary power source, it would provide alternating current. To convert this AC into the DC compatible with the fuel cell or electrolysis device of Routtenberg, it would be obvious to use an AC/DC converter in his system.

Claims 5-8 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. The use of a capacitor as a bridging power source in a system including the main power source and back-up source, and a controller which activates the bridging source in response to deterioration of the main source and then activates the backup source, is not disclosed by the remaining prior art of record.

The Declarations filed on 10 June 2005 under 37 CFR 1.131 has been considered but are ineffective to overcome the Yamaguchi *et al.* and Routtenberg references.

The evidence submitted is insufficient to establish diligence from a date prior to the date of reduction to practice of the Yamaguchi *et al.* and Routtenberg references to either a constructive reduction to practice or an actual reduction to practice. While the evidence, as alleged by applicants, shows conception of the present invention, it does not contain a record of diligence between conception and either actual or constructive reduction to practice, or a record of diligence from conception to the effective respective dates of the references.

The terminal disclaimer filed on 10 June 2002 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of Patent No. 6,902,837 has been reviewed and is accepted. The terminal disclaimer has been recorded.

Art Unit: 1745

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen J. Kalafut whose telephone number is 571-272-1286. The examiner can normally be reached on Mon-Fri 8:00 am-4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick J. Ryan can be reached on 571-272-1292. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Application/Control Number: 10/065,387

Art Unit: 1745

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications
may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

applications is available through Private PAIR only. For more information about the PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

sjk

PRIMARY EXAMINED OF CROUP

Page 5